



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Howard J. Federoff *et al.* Art Unit : 1635
Serial No. : 09/997,848 Examiner : K. Lacourciere
Filed : November 29, 2001
Title : HELPER VIRUS-FREE HERPESVIRUS AMPLICON PARTICLES AND USES
THEREOF

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated March 15, 2005, which is not more than three months prior to the filing of this statement.

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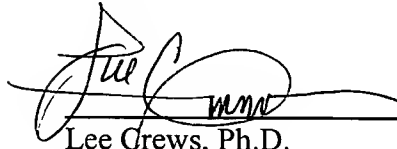
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Attorney's Docket No.: 12610-011001

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Respectfully submitted,

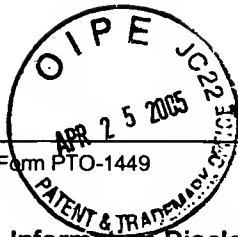
Date: April 20, 2005

A handwritten signature in black ink, appearing to read 'Lee Crews', is written over a horizontal line.

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12610-011001	Application No. 09/997,848
		Applicant Howard J. Federoff et al.	
		Filing Date November 29, 2001	Group Art Unit 1635

**Information Disclosure Statement
by Applicant**
(Use several sheets if necessary)

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,661,033	08/26/1997	Ho et al.			
	AB	US 2002/0103152	08/01/2002	Kay et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AC	WO 02/056828	07/25/2002	WIPO				
	AD	WO 02/087625	11/07/2002	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AE	Bowers, W.J. et al. "Expression of vhs and VP16 during HSV-1 helper virus-free amplicon packaging enhances titers", Gene Therapy , Vol. 8, No. 2, pp. 111-120, January 2001
	AF	Bowers, W.J. et al., "Development of integrating HSV-1 amplicon vectors for CNS gene transfer", Society for Neuroscience Abstract , Vol. 2002, Abstract No. 387.13, November 2, 2002
	AG	Chen, Xiaowei et al., "HSV amplicon-mediated neurotrophin-3 expression protects murine spiral ganglion neurons from cisplatin-induced damage", Molecular Therapy , Vol. 3, No. 6, pp. 958-963, June 6, 2001
	AH	Halterman, M.W. et al., "Restricted replication using VP16 in HSV-1 mutants produces amplicon vectors with reduced toxicity", Society for Neuroscience Abstracts , Vol. 26, No. 1-2, Abstract No. 232-13, November 4, 2000
	AI	Johnson, Paul et al., "Improved cell survival by the reduction of immediate-early gene expression in replication-defective mutants of herpes simplex virus type 1 but not by mutation of the virion host shutoff function", Journal of Virology , Vol. 68, No. 10, pp. 6347-6362, October 1994

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	